

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

B1A

**LEIGH BALDWIN & CO., LLC.
MEMBER FINRA AND SIPC
112 ALBANY STREET
CAZENOVIA, NY 13035**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.24%
Limit orders as percentage of total non-directed orders	17.89%
Other orders as percentage of total non-directed orders	4.86%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	50.34%
2. VIRTU Americas LLC	27.65%
3. G1 Execution Services, LLC	4.49%
4. National Financial Services LLC	4.42%
5. New York Stock Exchange	3.81%
6. Cboe EDGX U.S. Equities Exchange	2.99%
7. NASDAQ Execution Services, LLC	2.35%
8. Two Sigma Securities, LLC	1.84%
9. UBS Securities, LLC	1.67%
10. Cboe BZX U.S. Equities Exchange	0.20%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	56.54%
Limit orders as percentage of total non-directed orders	26.05%
Other orders as percentage of total non-directed orders	41.26%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	29.11%
Limit orders as percentage of total non-directed orders	16.92%
Other orders as percentage of total non-directed orders	44.06%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.24%
Limit orders as percentage of total non-directed orders	2.28%
Other orders as percentage of total non-directed orders	0.70%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.37%
Limit orders as percentage of total non-directed orders	1.52%
Other orders as percentage of total non-directed orders	0.00%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	21.29%
Other orders as percentage of total non-directed orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	16.73%
Other orders as percentage of total non-directed orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	13.12%
Other orders as percentage of total non-directed orders	0.00%

8. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.59%
Limit orders as percentage of total non-directed orders	0.57%
Other orders as percentage of total non-directed orders	10.49%

9. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.85%
Limit orders as percentage of total non-directed orders	0.38%
Other orders as percentage of total non-directed orders	3.50%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	1.14%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	70.45%
Limit orders as percentage of total non-directed orders	27.04%
Other orders as percentage of total non-directed orders	2.52%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	46.88%
2. VIRTU Americas LLC	30.86%
3. NASDAQ Execution Services, LLC	6.87%
4. G1 Execution Services, LLC	4.46%
5. Cboe EDGX U.S. Equities Exchange	4.18%
6. National Financial Services LLC	2.59%
7. UBS Securities, LLC	1.84%
8. Cboe BZX U.S. Equities Exchange	1.20%
9. Two Sigma Securities, LLC	0.82%
10. Baldwin (Leigh) & Co., LLC	0.21%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	57.39%
Limit orders as percentage of total non-directed orders	17.43%
Other orders as percentage of total non-directed orders	69.01%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	30.18%
Limit orders as percentage of total non-directed orders	34.08%
Other orders as percentage of total non-directed orders	15.49%

3. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	25.43%
Other orders as percentage of total non-directed orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.73%
Limit orders as percentage of total non-directed orders	0.79%
Other orders as percentage of total non-directed orders	8.45%

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	15.47%
Other orders as percentage of total non-directed orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.97%
Limit orders as percentage of total non-directed orders	1.83%
Other orders as percentage of total non-directed orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.46%
Limit orders as percentage of total non-directed orders	0.26%
Other orders as percentage of total non-directed orders	1.41%

8. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	4.46%
Other orders as percentage of total non-directed orders	0.00%

9. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.86%
Limit orders as percentage of total non-directed orders	0.26%
Other orders as percentage of total non-directed orders	5.63%

10. Baldwin (Leigh) & Co., LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.30%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	86.11%
Limit orders as percentage of total non-directed orders	9.91%
Other orders as percentage of total non-directed orders	3.98%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	53.09%
2. VIRTU Americas LLC	33.78%
3. G1 Execution Services, LLC	5.33%
4. UBS Securities, LLC	1.95%
5. Two Sigma Securities, LLC	1.52%
6. Cboe EDGX U.S. Equities Exchange	1.27%
7. NASDAQ Execution Services, LLC	1.27%
8. National Financial Services LLC	0.93%
9. NYSE Arca	0.59%
10. Cboe BZX U.S. Equities Exchange	0.17%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	58.01%
Limit orders as percentage of total non-directed orders	18.80%
Other orders as percentage of total non-directed orders	31.91%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	31.86%
Limit orders as percentage of total non-directed orders	39.32%
Other orders as percentage of total non-directed orders	61.70%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.60%
Limit orders as percentage of total non-directed orders	4.27%
Other orders as percentage of total non-directed orders	2.13%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.97%
Limit orders as percentage of total non-directed orders	2.56%
Other orders as percentage of total non-directed orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.47%
Limit orders as percentage of total non-directed orders	0.85%
Other orders as percentage of total non-directed orders	4.26%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	12.82%
Other orders as percentage of total non-directed orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	12.82%
Other orders as percentage of total non-directed orders	0.00%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.08%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.98%
Other orders as percentage of total non-directed orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	1.71%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	29.92%
Limit orders as percentage of total non-directed orders	67.39%
Other orders as percentage of total non-directed orders	2.69%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	32.27%
2. NASDAQ PHLX Options	12.44%
3. NYSE American Options	8.07%
4. ISE Gemini Options Exchange	8.07%
5. NASDAQ Options Market	7.56%
6. The MIAX Options Exchange	7.39%
7. Cboe EDGX Option Exchange	6.72%
8. BOX Option Exchange	5.21%
9. NYSE Arca Options	4.03%
10. Cboe BZX Options Exchange, Inc.	2.86%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	43.82%
Limit orders as percentage of total non-directed orders	27.43%
Other orders as percentage of total non-directed orders	25.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	17.42%
Limit orders as percentage of total non-directed orders	8.48%
Other orders as percentage of total non-directed orders	56.25%

3. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	8.43%
Limit orders as percentage of total non-directed orders	8.23%
Other orders as percentage of total non-directed orders	0.00%

4. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.37%
Limit orders as percentage of total non-directed orders	10.47%
Other orders as percentage of total non-directed orders	0.00%

5. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.22%
Other orders as percentage of total non-directed orders	0.00%

6. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.74%
Limit orders as percentage of total non-directed orders	7.98%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.81%
Limit orders as percentage of total non-directed orders	7.98%
Other orders as percentage of total non-directed orders	18.75%

8. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	7.87%
Limit orders as percentage of total non-directed orders	4.24%
Other orders as percentage of total non-directed orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.69%
Limit orders as percentage of total non-directed orders	5.24%
Other orders as percentage of total non-directed orders	0.00%

10. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	3.37%
Limit orders as percentage of total non-directed orders	2.74%
Other orders as percentage of total non-directed orders	0.00%

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

T9X

**BETTINGER & LEECH FINANCIAL
CORP. THROUGH THE COURTESY OF
LEIGH BALDWIN & CO LLC
600 PALISADE AVENUE
ENGLEWOOD CLIFFS, NJ 07632**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	66.67%
2. VIRTU Americas LLC	27.78%
3. G1 Execution Services, LLC	5.56%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	66.67%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	27.78%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.56%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	100.00%
---------------------------	---------

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%