Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

# T9X

# BETTINGER & LEECH FINANCIAL CORP. THROUGH THE COURTESY OF LEIGH BALDWIN & CO LLC 600 PALISADE AVENUE ENGLEWOOD CLIFFS, NJ 07632

# SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019

### Securities Listed on New York Stock Exchange

0.00%

#### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

<ol> <li>Citadel Securities LLC</li> <li>VIRTU Americas LLC</li> <li>G1 Execution Services, LLC</li> </ol>	66.67% 27.78% 5.56%
Information Concerning Significant Venues :	
1. Citadel Securities LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total non-directed orders Limit orders as percentage of total non-directed orders Other orders as percentage of total non-directed orders	66.67% 0.00% 0.00%
2. VIRTU Americas LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total non-directed orders Limit orders as percentage of total non-directed orders	27.78% 0.00%

Other orders as percentage of total non-directed orders

### 3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.56%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders Market orders as percentage of total non-directed orders Limit orders as percentage of total non-directed orders Other orders as percentage of total non-directed orders	100.00% 100.00% 0.00% 0.00%
Venues Receiving Significant Percentage of Total Non-Directed Orders:	
1. Citadel Securities LLC	100.00%
Information Concerning Significant Venues :	
1. Citadel Securities LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total non-directed orders Limit orders as percentage of total non-directed orders Other orders as percentage of total non-directed orders	100.00% 0.00% 0.00%

## Securities Listed on NYSE American or Regional Exchanges

0.00%

0.00%

0.00%

0.00%

#### Summary Statistics: Non-directed orders as percentage of total customer orders Market orders as percentage of total non-directed orders Limit orders as percentage of total non-directed orders Other orders as percentage of total non-directed orders

## **Exchange - Listed Options**

#### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%